SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0104 Estimated average burden

0.5

hours per response:

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

				Filed purs or	uant to Sect Section 30(h	ion 16(a) of the Securities Exchange Act of 19 ) of the Investment Company Act of 1940	34		1		
Sta				f Event Requir nt (Month/Day/ 021		3. Issuer Name and Ticker or Trading Symbol <u>NERDWALLET, INC.</u> [ NRDS ]					
(Last) (First) (Middle) NERDWALLET, INC. 55 HAWTHORNE, 11TH FLOOR						4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title below) Other (specify below		L	5. If Amendment, Date of Original Filed (Month/Day/Year)     6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) SAN FRANCISCO	CA	94105				Chief Business Off	() /		,	Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)									
				Table I -	Non-Deri	vative Securities Beneficially Owr	ned				
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Dwned (Instr. 4)	3. Ownership Fo Direct (D) or Ind (Instr. 5)					
Class A Common Stock					170,747	D					
Common Stock <sup>(1)</sup>					18,519 <sup>(2)</sup>	D					
Common Stock						<b>4,688</b> <sup>(2)</sup>	D				
			(			tive Securities Beneficially Owner rrants, options, convertible secur					
1. Title of Derivative Security (Instr. 4) 2. Date Exercisable an Expiration Date (Month/Day/Vear) 2. Date Expiration Date Exp				ate	Security (Instr. 4)		Conversion or Exercise	ise or Indirect (I)	6. Nature of Indirect Beneficial Ownership (Instr. 5)		
					Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	(Instr. 5)		
Employee Stock Options (right to buy) 10/25/2018 <sup>(3)</sup> 10/25/2018 <sup>(3)</sup>				10/24/2028	Class A Common Stock	31,250	7.42	D			
Employee Stock Options (right to buy) 03/18/2020 <sup>(4)</sup> 03/17/203				03/17/2030	Class A Common Stock	15,000	12	D			
Employee Stock Options (right to buy) 03/29/2021 <sup>(5)</sup> 03/28/203				03/28/2031	Class A Common Stock	42,328	14	D			
Employee Stock Options (right to buy)     09/14/2016     09/13/202				09/13/2026	Class A Common Stock	16,895	4.1	D			
Employee Stock Options (right to buy)     05/02/2017     05/01/202				05/01/2027	Class A Common Stock	25,000	5.58	D			
Employee Stock Options (right to buy) 09/24/2019 <sup>(6)</sup> 09/24/2012				09/24/2029	Class A Common Stock	37,499	10.2	D			

Explanation of Responses:

1. Placeholder (for multiple holdings of the same security)

2. Represents Restricted Stock Units payable solely in Class A Common Stock of the Issuer.

3. 1/48th of the shares subject to the option vest on each monthly anniversary measured from 9/1/18 the Vesting Commencement Date ("VCD"), such that 100% of the shares subject to the option will be fully vested on the fourth anniversary of the VCD. 4. 1/48th of the shares subject to the option vest on each monthly anniversary measured from 3/1/20 the VCD, such that 100% of the shares subject to the option will be fully vested on the fourth anniversary of the VCD.

5. 1/48th of the shares subject to the option vest on each monthly anniversary measured from 4/1/21 the VCD, such that 100% of the shares subject to the option will be fully vested on the fourth anniversary of the VCD.

6. 1/48th of the shares subject to the option vest on each monthly anniversary measured from 9/1/19 the VCD, such that 100% of the shares subject to the option will be fully vested on the fourth anniversary of the VCD.

Remarks:

EXHIBIT LIST: EX-24 Power of Attorney for Kevin Yuann

<u>/s/ Bridgett Gatewood, Attorney-In-Fact</u> for Kevin Yuann	11/03/2021	
** Signature of Reporting Person	Date	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v). \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Know all by these presents that the undersigned hereby constitutes and appoints each of the General Counsel; Senior Counsel, Corporate; Senior (1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of NerdWallet, Inc. (the "Company (2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such (3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of be The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 1st day of October, 2021.